

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 291881-US-CNT (Jennings)  
First Inventor Terry D. Jennings  
Title Mark-Up Language Implementation of Graphical or Non-Graphical User Interfaces  
Express Mail Label No. ET 661 408523US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 30]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 16]
5. Oath or Declaration [Total Sheets 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: .....09/660,315.

Prior application information:

Examiner Cao H. Nguyen

Art Unit: 2173

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number: .....

OR ☒ Correspondence address below

Name Docket Administrator  
Address Avaya Inc.  
307 Middletown-Lincroft Road, Room 1N-391  
City Lincroft State NJ Zip Code 07738  
Country USA Telephone 303-538-4154 Fax 303-538-5867

Name (Print/Type) David Volejnick Registration No. (Attorney/Agent) 29355  
Signature [Signature] Date 18 Sept. 2003

This collection of information is required by 37 CFR 1.53(b). The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

ET 661408523 US

**PATENT APPLICATION**

Inventors: **Terry D. Jennings**  
Case No.: **291881-US-CNT (Jennings)**  
Serial No. of prior application: **09/660,315** Group Art Unit: **2173**  
Filing Date: **September 12, 2000**  
Examiner: **Cao H. Nguyen**  
Title: **Mark-Up Language Implementation of Graphical or Non-Graphical User Interfaces**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

SIR:

**NEW APPLICATION UNDER 37 CFR § 1.53(b)**

Enclosed are the following papers relating to the above-named application for patent:

Specification (22 pgs. – Specification; 7 pgs. – Claims; 1 pg. -- Abstract)  
16 Sheets of Formal Drawing  
Notice of Recordation of Assignment with Cover Sheet and Assignment  
New Declaration and Power of Attorney  
2 Notices of References Cited (9 references)  
1 Information Disclosure Statement (19 references)

This present application claims the priority of U.S. Application No. 09/660,315, filed September 12, 2000 in the name of inventors **Terry D. Jennings**.

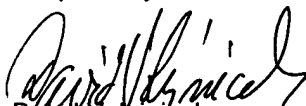
| CLAIMS AS FILED                          |           |           |                  |                |
|--|-----------|-----------|------------------|----------------|
|  | NO. FILED | NO. EXTRA | RATE             | CALCULATIONS   |
| Total Claims                             | 38 - 20 = | 18        | x \$18 =         | \$324          |
| Independent Claims                       | 5 - 3 =   | 2         | x \$84 =         | \$168          |
| Multiple Dependent Claims, if applicable |           |           | + \$280 =        | \$280          |
| Basic Fee                                |           |           |                  | \$750          |
|  |           |           | <b>TOTAL FEE</b> | <b>\$1,522</b> |

Please file the application and charge **Avaya Inc.'s Deposit Account No. 501602** the amount **\$1,522**, to cover the filing fee. **Duplicate copies of this letter are enclosed.** In the event of non-payment or improper payment of a required fee, the Director is authorized to charge or to credit **Deposit Account No. 501602** as required to correct the error.

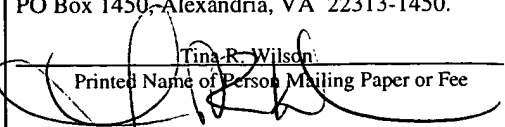
**The Director for Patents is hereby authorized to treat any concurrent or future reply requiring a petition for extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time if not submitted with the reply.**

Please address all correspondence to **Docket Administrator (Room 1N-391), Avaya Inc., 307 Middletown-Lincroft Road, Lincroft, NJ 07738.** However, telephone calls should be made to me at 303-538-4154.

Respectfully,

  
David Volejnick  
Reg. No. 29355  
Attorney for Applicants

Date: 18 Sept. 2003  
Docket Administrator  
Avaya Inc.  
Room 1N-391  
307 Middletown-Lincroft Road  
Lincroft, NJ 07738

|  |
|--|
| "Express Mail" mailing label No. <b>ET 661 408 523 US</b>  |
| Date of Deposit <u>18 Sept. 2003</u>   |
| I hereby certify that this Application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450. |
| <u>Tina R. Wilson</u><br>Printed Name of Person Mailing Paper or Fee   |
| <br>Signature of Person Mailing Paper or Fee  |

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

**Patent Application**

Inventors: **Terry D. Jennings**  
Case No.: **Jennings 5**  
Serial No.: **09/660,315** Group Art Unit: **2173**  
Filing Date: **September 12, 2000**  
Examiner: **Cao H. Nguyen**  
Title: **Mark-Up Language Implementation of Graphical or Non-Graphical User Interfaces**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

SIR:

**NOTIFICATION OF FILING OF CONTINUING, DIVISIONAL  
OR CONTINUED PROSECUTION APPLICATION**

Notification is hereby being made of the filing of a:

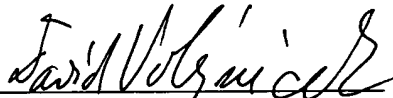
- ☒ continuation
- ☐ continuation-in-part
- ☐ divisional
- ☐ continued prosecution

application for this case

- ☒ concurrently herewith.
- ☐ on \_\_\_\_\_ Date

In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 501602** as required to correct the error.

Respectfully submitted,

By   
David Volejnicek  
Corporate Counsel  
Reg. No. 29355  
303-538-4154

Date: 18 Sept. 2003  
Avaya Inc.  
Docket Administrator  
307 Middletown-Lincroft Road  
Room 1N-391  
Lincroft, NJ 07738

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail with sufficient postage in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

On 18 Sept. 2003 Tina R. Wilson  
Date of Deposit (Type name of person mailing paper) (Signature of person mailing paper)